

## METHOD AND APPARATUS FOR CONTINUOUS HIGH SPEED DIGITAL METERING USING MULTIPLE PRINT HEADS

### TECHNICAL FIELD

5           The present invention relates to a module for printing postage value, or other information, on an envelope in a high speed mail processing and inserting system. Within the postage printing module, a digital print mechanism is used at high speeds to create the postal indicia for the envelopes.

### BACKGROUND OF THE INVENTION

10           Inserter systems such as those applicable for use with the present invention, are typically used by organizations such as banks, insurance companies and utility companies for producing a large volume of specific mailings where the contents of each mail item are directed to a particular addressee. Also, other  
15 organizations, such as direct mailers, use inserts for producing a large volume of generic mailings where the contents of each mail item are substantially identical for each addressee. Examples of such inserter systems are the 8 series, 9 series, and APS<sup>TM</sup> inserter systems available from Pitney Bowes Inc. of Stamford Connecticut.

20           In many respects, the typical inserter system resembles a manufacturing assembly line. Sheets and other raw materials (other sheets, enclosures, and envelopes) enter the inserter system as inputs. Then, a plurality of different

modules or workstations in the inserter system work cooperatively to process the sheets until a finished mail piece is produced. The exact configuration of each inserter system depends upon the needs of each particular customer or installation.

- 5           Typically, inserter systems prepare mail pieces by gathering collations of documents on a conveyor. The collations are then transported on the conveyor to an insertion station where they are automatically stuffed into envelopes. After being stuffed with the collations, the envelopes are removed from the insertion station for further processing. Such further processing may include automated
- 10 closing and sealing the envelope flap, weighing the envelope, applying postage to the envelope, and finally sorting and stacking the envelopes.

Current mail processing machines are often required to process up to 18,000 pieces of mail an hour. Such a high processing speed may require envelopes in an output subsystem to have a velocity in a range of 80-85 inches

15 per second (ips) for processing. Consecutive envelopes will nominally be separated by a 200 ms time interval for proper processing while traveling through the inserter output subsystem. Meters must print a clear postal indicia on the appropriate part of the envelope to meet postal regulations. The meter must also have the time necessary to perform the necessary bookkeeping and calculations

20 to ensure the appropriate funds are being stored and printed.

A typical postage meter used with a conventional high speed mail processing system has a mechanical print head that imprints postage indicia on envelopes being processed. Such conventional postage metering technology is available on Pitney Bowes R150 and R156 mailing machines using model 6500  
5 meters. The mechanical print head is typically comprised of a rotary drum that impresses an ink image on envelopes traveling underneath. Using mechanical print head technology, throughput speed for meters is limited by considerations such as the meter's ability to calculate postage and update postage meter registers, and the speed at which ink can be applied to the envelopes. In most  
10 cases, solutions using mechanical print head technology have been found adequate for providing the desired throughput of approximately five envelopes per second.

However, use of existing mechanical print technology with high speed mail processing machines presents some challenges. First, some older mailing  
15 machines were not designed to operate at such high speeds for prolonged periods of time. Accordingly, alternate solutions may be desirable in terms of enhancing long term mailing machine reliability.

Another problem is that many existing mechanical print head machines are configured such that once an envelope is in the mailing machine, it is committed to  
20 be printed and translated to a downstream module, regardless of downstream conditions. As a result, if there is a paper jam downstream, the existing mailing

machine component could cause even more collateral damage to envelopes within the mailing machine. At such high rates, jams and resultant damage may be more severe than at lower speeds.

Controlling throughput through the metering portion of a mail production' system is also a significant concern when using non-mechanical print heads. Many current mailing machines use digital printing technology to print postal indicia on envelopes. One form of digital printing that is commonly used for postage metering is thermal inkjet technology. Thermal inkjet technology has been found to be capable of generating images at 300 dpi on material translating up to 50 inches per second (ips) and 200 dpi at 80 ips.

As postage meters using digital print technology become more prevalent in the marketplace, it is important to find suitable substitutes for the mechanical print technology meters that have traditionally been used in high speed mail production systems. This need for substitution is particularly important as it is expected that postal regulations will require phasing out of older mechanical print technology meters, and replacement with more sophisticated meters. Ink jet digital print technology is now capable of printing a desired 200 dpi resolution on paper traveling at 80 ips., but has not yet been incorporated in the metering portions of high speed mail production systems.

It is known that many standard ink jet print heads must be stopped occasionally in order to perform maintenance routines. In particular, "drop-on-

demand" style ink jet print heads are known to require periodic maintenance. Maintenance may include a "print head wipe" that occurs approximately every 500 prints, and has a duration of approximately 3 seconds. Maintenance also may include a "print head purge" that occurs after approximately every 3000 prints, and  
5 has a duration of approximately 14 seconds. For an inserter operating at 18,000 pieces per hour, the wipe and purge activities would occur every 100 seconds and ten minutes respectively. These maintenance activities would result in reduced throughput performance. For example, an inserter that would otherwise operate at 18,000 piece per hour, would be reduced to 17,000 pieces per hour as a result of  
10 purge and wipe print head maintenance.

More expensive ink jet technology is available that does not require such frequent maintenance. For example, Scitex<sup>tm</sup> ink jet printers can run continuously, will no significant interruption. However, such continuous printers can be prohibitively expensive, and it is preferred that less expensive drop-on-demand ink  
15 jet print head technology can be used.

Some systems that have been available from Pitney Bowes for a number of years have used slower speed mechanical meters with a higher speed mail production system. These systems utilize mechanical print head R150 and R156 mailing machines using 6500 model postage meters installed on an inserter  
20 system. The postage meters operate at a slower velocity than that of upstream and downstream modules in the system. When an envelope reaches the postage

meter module, a routine is initiated within the postage meter. Once the envelope is committed within the postage meter unit, this routine is carried out without regard to conditions outside the postage meter. The routine decelerates the envelope to a printing velocity. Then, the mechanical print head of the postage  
5 meters imprints an indicia on the envelope. After the indicia is printed, the envelope is accelerated back to close to the system velocity, and the envelope is transported out of the meter.

Using the R150 or R156 mailing machines in this manner postage can be printed on envelopes at a lower print velocity. However, problems still occur for  
10 systems operating at higher velocities, such as 80 ips. At this higher speed, the time interval between consecutive envelopes is so short that the R150 and R156 machines cannot reset itself in time to print an indicia on a second envelope. To solve this problem, Pitney Bowes has offered a solution for number of years utilizing two mailing machines arranged serially in the envelope transport path. A  
15 diagram of this prior art system is depicted in Figure 1.

In this serial mailing machine solution, envelopes are transported along transport path **100**. When a first of a series envelopes reaches the first serial mechanical mailing machine **101**, the first envelope is decelerated for a printing operation by postage meter **104**. After printing is complete, the first envelope is  
20 carried away from the first serial machine **101** via transport **102** to the second serial mechanical mailing machine **103**.

At the second mailing machine **103**, the first envelope is typically decelerated to the print velocity. However, since an indicia has already been printed on the first envelope, no printing operation is performed by the second postage meter **105**. The first envelope is then accelerated back to the system  
5 velocity and carried out of the serial postage printing arrangement.

The motion control of deceleration and acceleration at the second postage meter **105** without performing a print operation is done in order to maintain the displacements of consecutive envelopes in the system. Failure to subject subsequent envelopes to the same displacements may result in one envelope  
10 catching up to the other and causing a jam.

Following the first envelope, a second envelope arrives at the first mailing machine **101**. The second envelope is subjected to the deceleration and acceleration motion profile. In a high speed system, however, the first postage meter **104** may not have had time to reset to print another indicia. Accordingly, the  
15 second envelope passes through the first mailing machine **101** without a printing operation. The second envelope is then passed via transport **102** to the second mailing machine **103** where it is again decelerated to the print velocity. This time, mailing machine **103** does perform a printing operation and an indicia is printed on the second envelope by postage meter **105**. Mailing machine **103** then  
20 accelerates the envelope back to the system velocity, and the second envelope is carried away downstream.

In this manner, some of the shortcomings of conventional mailing machines are avoided by allowing the serial mailing machines **101** and **103** to alternately take turns printing indicia on every-other envelope. One disadvantage of this prior art serial arrangement is that it remains very sensitive to gaps sizes between  
5 consecutive envelopes.

Another problem with existing solution is that the conventional postage meters are inflexible in adjusting to conditions present in upstream or downstream meters. For example, if the downstream module is halted as a result of a jam, the postage meter will continue to operate on whatever envelope is within its control.  
10 This often results in an additional jam, and collateral damage, as the postage meter attempts to output the envelope to a stopped downstream module.

### **SUMMARY OF THE INVENTION**

The present application describes a printing apparatus and method to for use in a continuous high velocity document processing system. In the preferred embodiment, they printing system is used in connection with a postage meter for imprinting postal indicia on mail pieces. The print apparatus is preferably located at the downstream end of an inserter device for mass producing mail pieces.

Within the printing system, a transport path conveys a series of mail pieces at a print velocity. In the preferred embodiment, there are at least two print heads to perform printing operations. The print heads are preferably available ink jet



print heads capable of printing at high resolution on documents traveling at high speed. During normal operation, only one print head is operating at a time. As mail pieces pass the print head at the print velocity, postal indicia are printed on them.

However, continuous operation of the printing apparatus is potentially interrupted when the print head that is in use must stop in order to undergo a maintenance operation. Accordingly, in accordance with the present invention, the second print head goes into operation without interruption of the document processing flow.

In the preferred embodiment, the print heads are in series. Thus, when one print head is taken out of service, the other one continues to print on documents in the same transport path. Because the second print head may be at a different location along the transport path, appropriate adjustments to the triggering of the print cycle are required.

In an alternate embodiment, a parallel print head arrangement may be used. Under this alternate embodiment, a flipper switch redirects documents to a parallel transport path and a parallel print head, when the first one is out of service. In either embodiment, the activation of a second print head may also be triggered when the first print head is subject to a failure that prevents it from being used. Thus, it may not be necessary to halt operation of the mail production process.

Further details of the present invention are provided in the accompanying drawings, detailed description and claims.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 depicts a prior art inserter metering system using two mechanical meters in series.

Figure 2 is a diagrammatic view of a postage printing module in relation to  
5 upstream and downstream modules.

### **DETAILED DESCRIPTION**

For the preferred embodiment of the present invention, it is desired that envelope printing throughput of 18,000 mail pieces per hour be achieved. To  
10 support this throughput, the preferred ink jet printing device to be used for printing a postage indicia is capable of achieving a desired resolution of 200 dpi at a speed of 80 ips. Such print heads are known to be available from printer manufacturers Canon, Brother and Hewlett-Packard.

As seen in FIG. 2, the present invention includes a postage printing module  
15 1 positioned between an upstream module 2 and a downstream module 3. Upstream and downstream modules 2 and 3 can be any kinds of modules in an inserter output subsystem. Typically the upstream module 2 could include a

device for wetting and sealing an envelope flap. Downstream module **3** could be a module for sorting envelopes into appropriate output bins.

Postage printing module **1**, upstream module **2**, and downstream module **3**, all include transport mechanisms for moving envelopes along the processing flow path. In the depicted embodiment, the modules use sets of upper and lower  
5 rollers **10**, **20**, **30**, **40**, **70**, and **80** called nips, between which envelopes are driven in the flow direction. In the preferred embodiment rollers **10**, **20**, **30**, **40**, **70**, and **80** are hard-nip rollers to minimize dither. The transport for module **1** may also be belts, or other known transport mechanisms.

10 Print heads **50** and **60** are preferably located at or near the output end of the print transport portion of the postage printing module **1** (see locations D and E). To satisfy desired readability the print heads **50** and **60** use drop-on-demand ink jet technology capable of printing an indicia at a resolution of 200 dots per inch (dpi) on media traveling at 80 ips.

15 In the preferred embodiment only one of print heads **50** or **60** is in use at a given time. Typically, one of the print heads, for example **50**, will be used to print indicia on the stream of envelopes. Using the present invention, when it is time for print head **50** to undergo a maintenance cycle, rather than pause or delay printing of indicia, print head **60** is brought into service to do the same job. Thus, one print  
20 head operates at a time, with the other held in reserve. The reserve print head goes into service when the primary undergoes a maintenance routine, or

otherwise becomes unavailable. The reserve may then continue operation as the primary print head, and the former primary may become the reserve when the maintenance operation is complete. Alternately, the primary may be brought back into service when maintenance is complete, and the reserve returned to inactive

5 status.

The rollers **10, 20, 30, and 40** for postage printing module **1** are driven by motors **11, 21, 31, and 41**. For modules **2** and **3**, rollers **70** and **80** are driven by electric motors **12** and **13** respectively. Motors **11, 21, 31, 41, 12, and 13** are preferably independently controllable servo motors. Motors **12** and **13** in upstream  
10 and downstream modules **2** and **3** drive rollers **70** and **80** at a constant velocity, preferably at the desired nominal velocity for envelopes traveling in the system. Thus in the preferred embodiment, upstream and downstream modules **2** and **3** will transport envelopes at 80 ips in the flow direction. Instead of independently controllable motors, the transports for module **1** may be driven in any known  
15 manner. For example, the rollers **10, 20, 30, and 40** could be all geared to a single driving mechanism. However, the arrangement of separate control is preferred because it allows for more flexibility in controlling motion within the print module **1**.

Postage printing module motors **11, 21, 31, and 41** are controlled by  
20 controller **14** which in turn receives sensor signals. Signals may be provided to the controller **14** from upstream sensor **15**, downstream sensor **18**, and trigger

sensors **16** and **17**. Sensors **15** and **18** are preferably used to detect the trailing edges of consecutive envelopes passing through the postage printing module **1**. Trigger sensor **16** determines that an envelope to be printed with an indicia is in the appropriate position to trigger the beginning of the printing sequence for print head **60**.

Sensors **15**, **16**, **17** and **18** are preferably photo sensors that are capable of detecting leading and trailing edges of envelopes. While four photo sensors are depicted in the embodiment of Fig. 2, the system can be operated with as few as one photo sensor at an upstream location. The upstream single photo sensor would generate a signal upon detecting the presence of a lead or trail edge of an envelope. Subsequent to sensing the envelope, encoder pulses from the servo motors (**11**, **21**, **31**, **41**) transporting the envelope could be counted, and the corresponding displacement can be accurately determined. Thus the controller **14** could trigger an action based on the sensing of an envelope edge, and then counting a predetermined quantity of pulses from the motor encoders. The preferred positioning of the sensors, and the utilization of signals received from the sensors are discussed in more detail below.

Referring to FIG.2, the location of the output of the transport for upstream module **2** is location A. The location for the input to the print transport of postage printing module **1** is location B. An intermediary transport roller **20** is located at point C. Transports **30** and **40** for print heads **50** and **60** are located at points D

and E. Point E is also the output of the print transport mechanism for postage printing module 1. The input for the transport of downstream module 3 is location F.

The modules may also include other rollers, or other types of transports, at  
5 other locations. To maintain control over envelopes traveling through the system, consecutive distances between rollers 10, 20, 30, and 40 must be less than the shortest length envelope expected to be conveyed. In the preferred embodiment, it is expected that envelopes with a minimum length of 6.5" will be conveyed. Accordingly and the rollers 10, 20, 30, and 40 will preferably be spaced not more  
10 than 6.25" apart, so that an envelope can be handed off between sets of rollers without giving up control transporting the envelope at any time. The preferred embodiment is also designed to handle an envelope 10.375 inches long.

Upstream sensor 15 is preferably located at or near location B, while downstream sensor 16 is preferably located at or near location E. Trigger sensors  
15 17 and 18 are preferably located upstream from print heads 50 and 60 by a sufficient distance to permit triggering of a print cycle in the active print head. The trigger sensors 17 and 18 may be located any distance upstream from the minimum deceleration point, even as far upstream as upstream sensor 15, so long as the print trigger control determined by controller 14 is adjusted accordingly.

In the preferred embodiment depicted in Fig. 2, the following distances between components has been found to most effectively handle the expected range of envelope sizes:

- A to B, 3.7 inches;
- 5 B to C, 3.9 inches;
- C to D, 3.9 inches;
- D to E, 6.25 inches; and
- E to F, 6.1 inches.

The print heads **50** and **60** are preferably located just downstream of nip  
10 roller sets **30** and **40**. This location allows greater control at the print head location, and also minimizes the opportunity for errors relating to an envelope tail kick. Tail kick occurs when the trail edge of an envelope is not adequately constrained and comes into contact with a print head, thereby causing print head damage and failure.

15 The preferred embodiment depicted in Fig. 2, depicts an exemplary serial arrangement of two print heads, whereby one may be taken out of service while the other undergoes a maintenance cycle. An alternative embodiment could utilize a parallel arrangement. Under this parallel arrangement, a flipper gate would be activated when the active print head is taken out of service. The flipper gate would  
20 redirect envelopes to a second parallel transport where the back-up print head prints indicia on envelopes. An exemplary parallel path system that would be

suitable for use in this manner is depicted in co-pending U.S. Patent Application 10/226,744, entitled PARALLEL PROCESSING HIGH SPEED PRINTING SYSTEM FOR AN INSERTING SYSTEM, by John Sussmeier, filed August 22, 2002 (Attorney Docket F-534), hereby incorporated by reference.

5           In a further preferred embodiment of the present invention, to ensure accurate printing, the rate at which the print heads **50** and **60** print the indicia can be electronically or mechanically geared to the speed of the print transport in the print module **1**. In such case, under circumstances where the print transport is operating outside of nominal conditions, a correct size and resolution print image  
10       can be generated. In the electronic version of this preferred embodiment, controller **14**, print head **50** or **60**, and the master roller servomotor **31** or **41** are geared to the same velocity and timing signals to provide that the transport and printing are always in synchronism.

          Displacement information for respective print, upstream, and downstream  
15       modules **1**, **2**, and **3** may typically be monitored via encoders in motors **11**, **21**, **31**, and **41**. The encoders register the mechanical movement of the module transports and report the displacements to controller **14** for appropriate use by controller **14** to maintain correct displacement mapping between the modules.

          In the preferred embodiment discussed in this application, the transport  
20       velocity throughout the mail production system is matched to the maximum attainable velocity of the print heads **50** and **60**. It may be desirable to increase



system throughput by increasing the transport velocity in the mail production system. Since print heads 50 and 60 may be incapable of achieving the desired resolution at such higher transport velocities, it may be necessary to introduce a motion control mechanism whereby envelopes are decelerated to the lower print velocity when they enter the print module 1. Printing would then be performed at the lower print velocity before being returned to higher transport velocity when passed downstream. Within the print module 1 the motion control would need to account for which of the two print heads was in use during the print motion control profile. A preferred embodiment for the print module 1 transport mechanism and control is described in co-pending U.S. Patent Application No.\_\_\_\_\_, titled METHOD AND SYSTEM FOR HIGH SPEED DIGITAL METERING, by John Sussmeier, Richard Stengl, and Jerry Leitz, filed on the same date at this application (Attorney Docket F-744).

In this application, a preferred embodiment of the system has been described in which documents being processed are envelopes. It should be understood that the present invention may be applicable for any kind of document on which printing is desired. Also a package or a parcel to which a printed image is applied as part of a processing system should also be considered to fall within the scope of the term "document" as used in this application.

The preferred embodiment was also described herein as including two print heads. It will be understood by one of ordinary skill in the art that the invention

may utilize more than two print heads, and that nothing in this description is intended to limit the invention from using more than two.

Although the invention has been described with respect to a preferred embodiment thereof, it will be understood by those skilled in the art that the  
5 foregoing and various other changes, omissions and deviations in the form and detail thereof may be made without departing from the spirit and scope of this invention.